SECRETARY OF THE SENATE PUBLISHING Stamp
2017 OCT 24 AM 10: 58

# COVER SHEET FOR AMENDMENT OF POST-TRAVEL SUBMISSION

Instructions: Use this form as a cover sheet for any paperwork you may need to submit to the Office of Public Records in order to make your Privately Sponsored Post-Travel Submission complete in accordance with Rule 35. Only complete this form if you need to submit an amendment to a post-travel filing you have already submitted.

## SUBMIT DIRECTLY TO THE OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING

	· · · · · · · · · · · · · · · · · · ·
Livia Lam ame of Traveler:	
The Aspen Insti	tute 
August 28-29, 2017 ravel Date(s):	
RE-1 Forms:	
•	
<u> </u>	
<u> </u>	Post-travel submission
urpose of Amendment (describe the reason t	for amending original submission):
nust be amended with the Office of Publi	c Records in SH-232.
<u> </u>	
	<u> </u>
-	Tate Muna
10-24-17	
(Date)	(Signature of Traveler)

# 99999999999

(Revised 10 1935)

# EMPLOYEE PRE-TRAVEL AUTHORIZATION

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the Select Committee on Ethics in SH-220. Incomplete and late travel submissions will <u>not</u> be considered or approved. This form <u>must</u> be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

Name of Traveler:	Livia Lam, Legislativ	e Director
Employing Office/Committee:	Senator P	atty Murray
Private Sponsor(s) (list all): The	Aspen Institute	
Travel date(s): August 28-29,	2017	
• • • •	nd the trip for any reason you <u>must</u> notify th	e Communee
Destination(s): Cleveland, Oh	io	
Explain how this trip is specifical	lly connected to the traveler's official or rep	resentational duties:
evidence on interventions de specifically connected to my	convene a conference to discuss recentesigned to increase mobility from poverty official duties as I supervise legislative discuss the Senator on these legislative	staff in the development of poverty policy
Name of accompanying family in Relationship to Employee: Special Speci		rect to the best of my knowledge:  (kignomic of Employee)
Secretary for the Majority, Secretary  PATTY MUCK  (Print Senator's Officer  an employee under my direct suprelated expenses for travel to the	hereby authorize hereby authorize event described above. I have determined to	Senate, Secretary of the Senate, Sergeant at Arms,  LNIA LAIM  (Print Traveler's Name)  ent for necessary transportation, lodging, and hat this travel is in connection with his or her rance that he or she is using public office for
Thave also determined that the atof the Senate. <i>(signify "yer" by che Thate)</i> 7/25/17  (Date)	ecking base	is appropriate to assist in the representation  The Market of Supervising Senator Officers  Form RE-1

### PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should NOT submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

l <b>.</b>	Sponsor(s) of the trip (please list all sponsors):  The Aspen Institute (Education & Society Program - E&S Program) (see continued response)
<u>.</u>	Description of the trip: Aspen Institute-sponsored convening for senior Congressional staffers
	responsible for various programs and policies that affect mobility from poverty.
	Dates of travel: August 28-29, 2017
	Place of travel: Cleveland, OH
	Name and title of Senate invitees: Please see attached roster
•	I certify that the trip fits one of the following categories:
	(A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.  -OR-
	(B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (see question 9).
	I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
	- AND -
	I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
	I <i>certify</i> that:
	The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for de minimis lobbyist involvement.  - AND -
	The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (see question 9).

9.	USE ONLY IF YOU CHECKED QUESTION 6(B)  I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:
	<ul> <li>(A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and πο registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip.</li> <li>- OR -</li> </ul>
	<ul> <li>(B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip (see questions 6 and 10).         <ul> <li>OR →</li> </ul> </li> </ul>
	(C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.
10.	USE ONLY IF YOU CHECKED QUESTION 9(B)  If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:
11.	An itinerary for the trip is attached to this form. I certify that the attached itinerary is a detailed (hourby-hour), complete, and final itinerary for the trip.
12.	Briefly describe the role of each sponsor in organizing and conducting the trip:
	The Aspen Institute Education & Society Program facilitates the Aspen Senior Congressional Education
	Staff Network and organizes convenings to support staffers' professional learning. The Aspen Education
	& Society Program staff are completely and solely responsible for the retreat's planning and organization.
13.	Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:
13.	The Aspen Institute is an educational and policy studies non-profit organization whose mission is to foster
	leadership based on enduring values and provide a non-partisan forum for the exchange of ideas. The
	E&S Program seeks to provide a neutral forum that encourages off-the-record, (see continued response)
14.	Briefly describe each sponsor's prior history of sponsoring congressional trips:
	The Aspen Institute has a nearly forty year history of conducting non-partisan educational forums, which
	often include Members of Congress and staff. The E&S Program has been conducting convenings for
	Congressional education staff for eleven years.
	——————————————————————————————————————

СŲ
খ্য
ľÙ
ÇĢ
<b>(2)</b>
$\bigcirc$
(3)
0
O
Φ
0
0
(3)

The Aspen Institute holds numerous educational activities, including educational briefings, roundtables,						
forums, and conferences. The E&S Program works with federal, state and local education leaders to improve K-12 student achievement, particularly for traditionally underserved students.						
	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses		
⊠ Good Faith estimate	\$625.00	\$134.00	\$103.50	\$173.14 (mtg room and incidentals)		
Actual Amounts						
state whether a) the treatment as the treatment of the tr	rip involves an event that e trip involves an event the	hat is arranged or organ	anized specifically	with regard to		
congressional particip	egard to Congressional st	aff participation in or	der to support their	learning on recent		
b) Specifically with re						
congressional particip b) Specifically with re trends in economic m Reason for selecting t	egard to Congressional st nobility and new evidence	on programs design	ned to increase mob	ility from poverty.		
b) Specifically with retrends in economic masses for selecting to the Meeting in Cleveland	egard to Congressional st	on programs design or trip observe first-hand s	ome of the challeng	es related to		
b) Specifically with retrends in economic masson for selecting to Meeting in Cleveland mobility from poverty  Name and location of	egard to Congressional standard and new evidence the location of the event will allow participants to	or trip observe first-hand s state and local offici	ome of the challeng	es related to		
b) Specifically with retrends in economic masson for selecting to Meeting in Cleveland mobility from poverty  Name and location of	robility and new evidence the location of the event will allow participants to and to hear directly from	or trip observe first-hand s state and local offici	ome of the challeng	es related to		
b) Specifically with retrends in economic masson for selecting to Meeting in Cleveland mobility from poverty  Name and location of Hilton Cleveland Down  Reason(s) for selecting	robility and new evidence the location of the event will allow participants to and to hear directly from	or trip observe first-hand s state and local offic cility: enue East, Clevelan facility:	ome of the challeng	es related to		

	Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:  Rooms are \$134/day, which reflects the per diem limit.  Meals are \$69.00/day or \$51.75 first/last day of travel which reflects the per diem limit.			
	Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:			
	Coach airfare is provided.			
	1 represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).			
	any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why entertainment is an integral part of the event:			
	none provided			
	I hereby certify that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):			
	Signature of Travel Sponsor: 4617909  Name and Title: Elliot Gerson, Executive Vice President, Policy & Public Programs			
	Name and Title: The Aspen Institute  Name of Organization:			
	Address: 1 Dupont Circle NW, Suite 700, Washington, DC. 20036			
	Telephone Number:			
	Fax Number:			
	E-mail Address: lisa.jones@aspeninst.org			

# **Aspen Congressional Network** CONGRESSIONAL STAFF

#### Laura Bernsten

Minority Chief Human Services Advisor Senate Committee on Finance laura bernsten@finance.senate.gov

#### Joe Carlile

Minority Staff Assistant House Appropriations Subcommittee on Transportation, Housing and Urban Development loseph.cartile@mail.house.gov

#### **David Cleary**

Chief of Staff Senator Lamar Alexander David Cleary@alexander.senate.gov

#### Katelyn Conner

Legislative Director Senator Mitch McConnell katelyn conner@mcconnell.senate.gov

#### Anne DeCesaro

Minority Staff Director House Committee Ways and Means Subcommittee on Human Resources anne,decesaro@mail.house.gov

#### Doug Disrud

Majority Clerk House Appropriations Subcommittee on Transportation, Housing and Urban Development doug.disrud@mail.house.gov

#### **Bruce Evans**

Majority Staff Director Senate Committee on Appropriations Mike Gentile@appro.senate.gov

#### **Denise Forte**

Minority Staff Director House Committee on Education and the Workforce Denise.Forte@mail.house.gov

#### Nancy Fox

Majority Staff Director House Committee on Appropriations nancy.fox@mail.house.gov

#### Laura Friedel

Majority Clerk Senate Appropriations Subcommittee on Labor, Health and Human Services, and Education Laura Friedel@appro.senate.gov

#### Ed Gilroy

Majority Director of Workforce Policy House Committee on Education and the Workforce ed.gilroy@mail.house.gov

#### Dabney Hegg

Minority Clerk Senate Appropriations Subcommittee on Transportation, Housing, and Urban Development dabney hegq@appro.senate.gov

#### Erika Jeffers

Minority Senior Policy Director House Financial Services Committee erika.jeffers@mail.house.gov

#### **Amy Jones**

Majority Director of Education and Human Services Policy House Committee on Education and the Workforce Amy.Jones@mail.house.gov

#### **Clinton Jones**

Majority Senior Counsel House Financial Services Committee clinton.jones@mail.house.gov

#### **Barrett Karr**

Chief of Staff Majority Leader, Kevin McCarthy barrett.karr@mail.house.qov

#### Alex Keenan

Majority Clerk

Senate Appropriations Subcommittee on Labor, Health and Human Services, and Education alex keenan@appro.senate.gov

Chuck Kieffer
Minority Staff Director
Senate Appropriations Subcommittee on
Commerce, Justice, and Science
charles kieffer@appro.senate.gov

Tom Mahr
Policy Director
Majority Whlp, Steve Scalise
tom.mahr@mail.house.gov

Phil Maxson
Chief of staff
Senator Mitch McConnell
philip maxson@mcconnell.senate.gov

Ted McCann
Assistant to the Speaker for Policy
Speaker Paul Ryan
ted.mccann@mail.house.gov

Dick Meltzer
Policy Director
Minority Leader, Nancy Pelosi
dick.meltzer@mail.house.gov

Moma Miller
House Committee Ways and Means Subcommittee
on Human Resources
morna.miller@mail.house.gov

Lisa Molyneux
Minority Professional Staff
House Appropriations Subcommittee on Labor,
Health and Human Services, and Education
Lisa.Molyneux@mail.house.gov

Gerry Petrelia
Policy Director
Senator Chuck Schumer
gerry petrella@schumer.senate.gov

Mark Powden
Minority Staff Director
Senate Committee on Banking, Housing, and Urban
Affairs
mark powden@banking.senate.gov

Wendell Primus
Senior Policy Advisor
Minority Leader, Nancy Pelosi
wendell.primus@mail.house.gov

Gregg Richard
Majority Staff Director
Senate Committee on Banking, Housing, and Urban
Affairs
gregg\_richard@banking.senate.gov

Susan Ross
Majority Clerk
House Appropriations Subcommittee on Labor,
Health and Human Services, and Education
susan.ross@mail.house.gov

Evan Schatz
Minority Staff Director
Senate Committee on Health, Education, Labor, and
Pensions
Evan Schatz@help.senate.gov

Heideh Shahmoradi
Majority Clerk
Senate Appropriations Subcommittee on
Transportation, Housing, and Urban Development
P: 202-225-6558
Heideh Shahmoradi@appro.senate.gov

Becky Shipp
Majority Health Policy Advisor
Senate Committee on Finance
becky shipp@finance.senate.gov

Shalanda Young
Minority Staff Director
House Committee on Appropriations
shalanda,young@mail.house.gov